



03500.015728.

PATENT APPLICATION

2879

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SHINICHI KAWATE ET AL.

Application No.: 09/940,642

Filed: August 29, 2001

For: ELECTRON-EMITTING DEVICES,
ELECTRON SOURCES, AND
IMAGE-FORMING APPARATUS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

For a concise explanation of relevance for various non-English documents listed on the enclosed Form PTO-1449, the Examiner is directed to the corresponding English-language abstracts, English translations, and/or English counterparts, where available, as indicated in association with the relevant non-English documents on the Form PTO-1449.

For the concise statement of relevance of non-English document

CN 1181607A, the Examiner also is respectfully referred to English-language counterparts
EP 0 836 217 A1 and WO 99/58748.

The Examiner's attention is also directed to the following U.S. Applications:

<u>APPLICATION NO.</u>	<u>FILING DATE</u>	<u>GROUP ART UNIT</u>
09/178,680 (Allowed)	10/26/98	2879
09/506,289	2/18/00	2818
09/666,605	09/20/00	2811
09/955,137	9/19/01	2879
10/106,484	3/27/02	2879
10/234,213	9/5/02	2879
10/235,809	9/6/02	2878

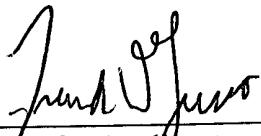
A copy of each cited application is enclosed.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

It is believed that no fee is required in connection with this paper. However, if a fee is deemed required, please charge the fee to Deposit Account 06-1205.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,



Attorney for Applicants

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NY MAIN #373991v1

LIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

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APPLICANT

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,982,091	11/99	Konishi	313	495	
	2002/0031972 A1	03/02	Kitamura et al.	445	3	
	6,400,091 B1	06/02	Deguchi et al.	315	169.1	
	6,472,814 B1	10/02	Yamanobe et al.	313	495	11/13/98
	5,872,422	02/99	Xu et al.	313	311	
	6,087,765	07/00	Coll et al.	313	309	
	2002/0009637A1	01/02	Murakami et al.	429	213	02/05/01
	5,847,495	12/98	Yamanobe et al.	313	310	
	5,066,883	11/91	Yoshioka et al.	313	309	
	6,455,021 B1	09/02	Saito	423	447.3	07/20/99
	6,471,936 B1	10/02	Chen et al.	423	658.2	03/02/00
	5,773,921	06/30/98	Keesman et al.	313	309	
	5,973,444	10/26/99	Xu et al.	313	309	
	5,935,639	8/10/99	Sullivan et al.	427	78	
	4,956,578	9/11/90	Shimizu et al.	315	3	
	5,185,554	2/9/93	Nomura et al.	313	495	
	6,448,709	9/02	Chuang et al.	313	497	
	6,204,597	03/01	Xie et al.	313	310	

EXAMINER

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	5,577,943	11/96	Vickers et al.	445	24	
	2002/136896	09/26/00	Takikawa et al.	428	408	
	5,192,240	03/09/93	Komatsu	445	24	
	5,214,346	05/25/93	Komatsu	313	309	
	5,382,867	01/17/95	Maruo et al.	313	309	
	5,612,587	03/18/97	Itoh et al.	313	309	
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	6,283,812 B1	09/01	Jin et al.	445	24	
	2001/0006232	07/05/01	Choi et al.	257	10	
	4,816,289	03/89	Komatsu et al	423	447.3	
	5,443,859	08/95	Nagata	427	122	
	5,618,875	04/97	Baker et al.	524	495	
	5,690,997	11/97	Grow	427	249.1	
	6,129,602	10/00	Yamanobe	445	24	
	6,228,904	05/01	Yadav et al.	523	210	
	6,333,016	12/01	Resasco et al.	423	447.3	
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	6,445,006	09/02	Brandes et al.	257	76	
	5,981,305	11/99	Hattori	438	20	
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FORM PTO 1449 (modified)		ATTY DOCKET NO. 03500.015728.		APPLICATION NO. 09/940,642		
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		SEP 10 2003 APPLICANT Shinichi Kawate et al.				
LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)		FILING DATE August 29, 2001		GROUP 2879		
U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,290,564	9/01	Talin et al.	445	50	
	5,458,784	10/95	Baker et al.	210	674	
	6,331,690 B1	12/01	Yudasaka et al.	219	121.6	
	5,965,267	10/99	Nolan et al.	428	408	
	6,309,612 B1	05/02	Kotaki et al.	347	85	
	5,872,541	02/99	Yoshioka et al.	345	74.1	
	5,543,684	08/96	Kumar et al.	313	495	
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	0 535 953 B1	01/96	EPO	H01J	1/30	English
	0 290 026 B1	02/93	EPO	H01J	3/02	English
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	3-20941	01/29/91	JAPAN	H01J	31/12	Abst. & Counterpart U.S. Patent 5,185,554
	5-211029	08/20/93	JAPAN	H01J	1/30	No
	8-264109	10/11/96	JAPAN	H01J	1/30	Abst.
	9-82214	3/28/97	JAPAN	H01J	1/30	Abst. & European Equivalent 0 716 439
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	04-212236	03/08/92	Japan	H01J	1/30	Counterpart U.S. Patents 5,192,240, 5,214,346 & EP 0 443 865
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